



ANNUAL STATEMENT OF FINANCIAL & ETHICAL DISCLOSURE
THE CITY OF LONG BEACH, NEW YORK
***FILING DUE MAY 15TH**

PURSUANT TO SECTION 19 OF THE CITY CHARTER, THE FORM IS REQUIRED TO BE FILED ON OR BEFORE MAY 15TH EACH CALENDAR YEAR WITH THE BOARD OF ETHICS

*INSTRUCTIONS: ANSWER ALL QUESTIONS; USE THE ANSWER "NONE" OR "N/A" WHERE APPROPRIATE

1. NAME & BUSINESS ADDRESS INFO:

LAST NAME

MIDDLE INITIAL

FIRST NAME

TITLE OF POSITION

DEPARTMENT, AGENCY, GOVERNMENTAL ENTITY, BUSINESS NAME

RESIDENCE ADDRESS & PHONE NUMBER

BUSINESS ADDRESS & PHONE NUMBER

2. SPOUSE & DEPENDENT:

SPOUSE NAME

DEPENDENT/AGE

DEPENDENT/AGE

DEPENDENT/AGE

DEPENDENT/AGE

DEPENDENT/AGE

REPORT CATEGORIES OF AMOUNTS, USING THE FOLLOWING:

CATEGORY A: under \$5,000	CATEGORY D: \$60,000 to under \$100,000
CATEGORY B: \$5,000 to under \$20,000	CATEGORY E: \$100,000 to under \$250,000
CATEGORY C: \$20,000 to under \$60,000	CATEGORY F: \$250,000 or over
<i>*A REPORTING INDIVIDUAL SHALL INDICATE THE CATEGORY BY LETTER ONLY.</i>	

3. FINANCIAL INTERESTS:

A. *BUSINESS POSITION.* LIST ANY OFFICE, TRUSTEESHIP, DIRECTORSHIP, PARTNERSHIP, OR OTHER POSITION IN ANY BUSINESS, PROPRIETARY, PARTNERSHIP, PROFESSION, EMPLOYMENT, TRADE ASSOCIATION, MEMBERSHIP, MUNICIPALITY, MINISTERIAL OR NOT-FOR-PROFIT ORGANIZATION IN ANY STATE HELD BY YOU, YOUR SPOUSE OR YOUR DEPENDENT CHILDREN, AND INDICATE WHETHER THESE BUSINESSES ARE INVOLVED WITH THE CITY OF LONG BEACH OR ANY LOCAL AGENCY IN ANY MANNER, INCLUDING, BUT NOT LIMITED TO, COMPENSATION, BUSINESS REVENUE OVER \$25,000.00, APPLICATION AND APPROVAL OF FUNDS, LICENSING OR REGULATION. LIST ANY ACTIVITY AND NAME ANY SUCH AGENCY.

NAME OF FAMILY MEMBER	POSITION	ORGANIZATION	CITY, STATE, TOWN DEPT., OR LOCAL AGENCY & NATURE OF INVOLVEMENT	CATEGORY AMOUNT

B. *OUTSIDE EMPLOYMENT/INCOME.* DESCRIBE ANY OUTSIDE OCCUPATION, EMPLOYMENT, TRADE, BUSINESS, OR PROFESSION, PROVIDING IN EXCESS OF \$1,000.00 INCOME, INCLUDING DEFERRED INCOME, IN THE REPORTING YEAR FOR YOU, YOUR SPOUSE OR YOUR DEPENDENT CHILDREN, AND INDICATE WHETHER SUCH ACTIVITIES OR SOURCES WAS OR IS UNDER CONTRACT WITH AND/OR DID BUSINESS WITH AND/OR ARE REGULATED OR LICENSED BY THE CITY OF LONG BEACH OR ANY LOCAL AGENCY.

NAME OF FAMILY MEMBER	POSITION	NAME, ADDRESS & DESCRIPTION OF ORGANIZATION OR SOURCE	LOCAL AGENCY	CATEGORY AMOUNT

C. *LICENSED ATTORNEYS & REAL ESTATE AGENTS.* LIST A GENERAL DESCRIPTION OR THE NATURE OF ANY BUSINESS ACTIVITY, CONDUCTED BY REPORTING INDIVIDUAL WITH THE CITY OF LONG BEACH. YOU ARE REQUIRED TO LIST THE NAMES OF THE INDIVIDUAL CLIENTS OR CUSTOMERS, ONLY IF THERE IS A CASE OR CLIENT ACTIVE WITH THE CITY OF LONG BEACH AND REQUIRED RECUSALS MAY BE NEEDED TO BE FILED.

D. *FUTURE EMPLOYMENT.* DESCRIBE ANY CONTRACT, PROMISE, OR OTHER AGREEMENT BETWEEN YOU AND ANYONE ELSE WITH RESPECT TO YOUR EMPLOYMENT AFTER LEAVING YOUR OFFICE OR POSITION.

E. *NOTES/ACCOUNTS RECEIVABLE.* LIST BELOW ALL NOTES AND ACCOUNTS RECEIVABLE, OTHER THAN FROM GOODS OR SERVICES SOLD, HELD BY THE REPORTING INDIVIDUAL AT THE CLOSE OF THE TAXABLE YEAR LAST OCCURRING PRIOR TO THE DATE OF FILING AND OTHER DEBTS OWED TO SUCH INDIVIDUAL AT THE CLOSE OF THE TAXABLE YEAR LAST OCCURRING PRIOR TO THE DATE OF FILING, IN EXCESS OF \$1,000, INCLUDING THE NAME OF THE DEBTOR, TYPE OF OBLIGATION, DATE DUE AND THE NATURE OF THE COLLATERAL SECURING PAYMENT OF EACH, IF ANY, EXCLUDING SECURITIES. ONLY DEBTS, NOTES AND ACCOUNTS RECEIVABLE INCURRED BY A PERSON OR ENTITY UNDER CONTRACT WITH, OR AS A REGULAR AND SIGNIFICANT PART OF THE BUSINESS OR ACTIVITY OF SUCH DEBTOR, DID BUSINESS WITH OR HAD MATTERS, OTHER THAN MINISTERIAL MATTERS, WITH THE CITY OR ANY LOCAL AGENCY, ARE REQUIRED TO BE REPORTED HEREUNDER.

NAME OF DEBTOR	TYPE OF OBLIGATION, DATE DUE & NATURE OF COLLATERAL, IF ANY	CATEGORY	AMOUNT

F. *INVESTMENTS.* ITEMIZE AND DESCRIBE INVESTMENTS YOU, YOUR SPOUSE AND YOUR DEPENDENT CHILDREN HOLD IN EXCESS OF \$1,000.00 OR 10% OF THE VALUE IN ANY BUSINESS, CORPORATION, PARTNERSHIP, OR OTHER ASSETS, INCLUDING STOCKS, BONDS, LOANS, PLEDGED COLLATERAL, REAL ESTATE AND OTHER INVESTMENTS, AS WELL AS MONETARY VALUE OF FINANCIAL INTEREST, FOR YOU, YOUR SPOUSE OR YOUR DEPENDENT CHILDREN, IN ANY CONTRACT EXECUTED BY THE CITY OF LONG BEACH. LIST THE LOCATION OF ALL REAL ESTATE WITHIN THE CITY OF LONG BEACH OR WITHIN FIVE MILES THEREOF, IN WHICH YOU, YOUR SPOUSE, OR YOUR DEPENDENT CHILDREN HAVE AN INTEREST, REGARDLESS OF ITS VALUE.

NAME OF FAMILY MEMBER	NAME, ADDRESS & DESCRIPTION OF BUSINESS OR REAL ESTATE	RELATIONSHIP & DESCRIPTION OF CONTRACT HELD INTEREST OR INVESTMENT	CATEGORY AMOUNT

G. *TRUSTS.* IDENTIFY EACH INTEREST IN A TRUST OR ESTATE OR SIMILAR BENEFICIAL INTEREST IN ANY ASSETS IN EXCESS OF \$1,000.00, AND IF SUCH INTEREST WAS ESTABLISHED BY A PERSON OR ENTITY WHO HAD A CONTRACT WITH THE CITY OF LONG BEACH, EXCEPT FOR IRS ELIGIBLE RETIREMENT PLANS OR INTERESTS IN AN ESTATE OR TRUST OF A RELATIVE, FOR YOU, YOUR SPOUSE OR YOUR DEPENDENT CHILDREN.

NAME OF FAMILY MEMBER	INTEREST IDENTITY	TRUSTEE/EXECUTOR	DESCRIPTION OF TRUST/ESTATE	CATEGORY AMOUNT

H. *CITY OF LONG BEACH INCOME AND OTHER INCOME.* IDENTIFY CITY OF LONG BEACH SALARY AND ANY OTHER INCOME IN EXCESS OF \$1,000.00 PER YEAR FROM ANY SOURCE NOT DESCRIBED ABOVE.

NAME OF FAMILY MEMBER	NAME & ADDRESS OF INCOME	NATURE OF INCOME	CATEGORY AMOUNT

4. **RELATIVES EMPLOYED WITH THE CITY OF LONG BEACH:**

IDENTIFY ANY RELATIVES EMPLOYED BY THE CITY OF LONG BEACH. THE TERM "RELATIVE" INCLUDES THE SPOUSE, CHILD, STEPCHILD, STEPPARENT, PARENT, GRANDPARENT, NIECE, NEPHEW, AUNT, UNCLE, COUSIN, GRANDCHILD, BROTHER, SISTER, STEPBROTHER OR STEPSISTER OF THE REPORTING INDIVIDUAL. INCLUDE THE RELATIVES OF REPORTING INDIVIDUAL'S SPOUSE; OR ANY PERSON WHO IS A DIRECT DESCENDANT OF THE GRANDPARENTS OF THE REPORTING INDIVIDUAL OR OF THE REPORTING INDIVIDUAL'S SPOUSE.

NAME OF FAMILY MEMBER	RELATIVE	EMPLOYMENT DEPARTMENT

5. **GIFTS AND HONORARIUMS :**

LIST EACH SOURCE OF ALL GIFTS FOR YOU IN EXCESS OF \$75.00, AND FOR YOUR SPOUSE AND DEPENDENT CHILDREN IN EXCESS OF \$500.00 RECEIVED DURING THE LAST YEAR, EXCLUDING GIFTS FROM A RELATIVE. THE TERM "GIFTS" INCLUDES GIFTS OF CASH, PROPERTY, PERSONAL ITEMS, PAYMENTS TO THIRD PARTIES ON YOUR BEHALF, FORGIVENESS OF DEBT, HONORARIUMS, AND ANY OTHER PAYMENTS THAT ARE NOT REPORTABLE AS INCOME.

NAME OF FAMILY MEMBER	NAME & ADDRESS OF DONOR	CATEGORY AMOUNT

6. THIRD-PARTY REIMBURSEMENTS:

IDENTIFY AND DESCRIBE THE SOURCE OF ANY THIRD-PARTY REIMBURSEMENT FOR EXPENDITURES, INCLUDING BUT NOT LIMITED TO TRAVEL EXPENDITURES, IN EXCESS OF \$1,000.00 FOR ANY MATTER THAT RELATES TO YOUR OFFICIAL DUTIES. THE TERM "REIMBURSEMENT" INCLUDES ANY TRAVEL-RELATED EXPENSES PROVIDED BY ANYONE OTHER THAN THE CITY OF LONG BEACH FOR SPEAKING ENGAGEMENTS, CONFERENCES, OR FACT FINDING EVENTS THAT RELATE TO YOUR OFFICIAL DUTIES.

SOURCE	DESCRIPTION	CATEGORY	AMOUNT

7. INTERESTS IN CONTRACTS

DESCRIBE ANY INTEREST OF YOU, YOUR SPOUSE, OR YOUR DEPENDENT CHILDREN IN ANY CONTRACT INVOLVING THE CITY OF LONG BEACH OR ANY MUNICIPALITY.

NAME OF FAMILY MEMBER	CONTRACT DESCRIPTION

8. **POLITICAL PARTIES:**

LIST ANY POSITION YOU HELD WITHIN THE LAST FIVE YEARS AS AN OFFICER OF ANY POLITICAL PARTY, POLITICAL COMMITTEE, OR POLITICAL ORGANIZATION. THE TERM "POLITICAL ORGANIZATION" INCLUDES ANY INDEPENDENT BODY OR ANY ORGANIZATION THAT IS AFFILIATED WITH OR A SUBSIDIARY OF A POLITICAL PARTY.

NAME OF FAMILY MEMBER	CONTRACT DESCRIPTION

THE REQUIREMENTS OF LAW RELATING TO THE REPORTING OF FINANCIAL INTERESTS ARE IN THE PUBLIC INTEREST AND NO ADVERSE INFERENCE OF UNETHICAL OR ILLEGAL CONDUCT OR BEHAVIOR WILL BE DRAWN MERELY FROM COMPLIANCE WITH THESE REQUIREMENTS.

FALSE STATEMENTS MADE HEREIN ARE PUNISHABLE AS A CLASS "A" MISDEMEANOR PURSUANT TO SECTION 210.45 OF THE PENAL LAW.

(SIGNATURE OF REPORTING INDIVIDUAL)

DATE